(1) Publication number:

0 276 321

A<sub>1</sub>

#### **EUROPEAN PATENT APPLICATION**

published in accordance with Art. 158(3) EPC

(21) Application number: 87904570.6

(22) Date of filing: 14.07.87

12

Data of the international application taken as a basis:

- (86) International application number: PCT/JP87/00508
- (87) International publication number: WO88/00573 (28.01.88 88/03)

(5) Int. Cl.<sup>3</sup>: **C** 01 F 7/02 C 08 K 7/18, H 01 L 23/30 H 01 L 23/14

//H05K1/03

- (30) Priority: 14.07.86 JP 163719/86.
- (43) Date of publication of application: 03.08.88 Bulletin 88/31
- 84 Designated Contracting States: DE FR GB NL

- (71) Applicant: Showa Denko Kabushiki Kaisha 10-12, Shiba Daimon 2-chome Minato-ku Tokyo 105(JP)
- (72) Inventor: ODA, Yukio 1230-4, Chogo Fujisawa-shi Kanagawa 252(JP)
- (72) Inventor: OGAWA, Jun 95-1, Kitayacho Nakahara-ku Kawasaki-shi Kanagawa 211(JP)
- (74) Representative: Fisher, Bernard et al, Raworth, Moss & Cook 36 Sydenham Road Croydon Surrey CR02EF(GB)
- (54) SPHERICAL CORUNDUM PARTICLES, PROCESS FOR THEIR PRODUCTION, AND HIGHLY HEAT-CONDUCTIVE RUBBER OR PLASTIC COMPOSITION CONTAINING THEM.
- (57) Spherical corundum particles comprising single particles of 5 to 35 µm in average particle size having no cutting edges. They can be produced by mixing pulverized alumina of a specific particle size with at least one of a halogen compound, a boron compound, and alumina hydrate, heat-treating the mixture at 1,000°C or above, and crushing the product. A highly heat-conductive rubber or plastic composition is obtained by compounding the particles, which is useful as semiconductor sealing materials, etc.

Fig. IA



Croydon Printing Company Ltd.

# SPECIFICATION

SPHERICAL CORUNDUM PARTICLES, PROCESS FOR PREPARATION THEREOF AND RUBBER OR PLASTIC COMPOSITION HAVING HIGH THERMAL CONDUCTIVITY AND HAVING SPHERICAL CORUNDUM PARTICLES INCORPORATED THEREIN

# TECHNICAL FIELD

The present invention relates to spherical corundum particles without cutting edges, and having an excellent less abrasive property and flow characteristic and 5 valuable as a filler for a sealing material for electronic parts, a finishing additive or base material, a starting material of a wrapping material, and an additive or base material for refractories, glass or ceramics, a process for the preparation of such corundum particles, a rubber or plastic composition having a high concentration of such corundum particles incorporated therein, and having a high thermal conductivity and a low thermal expansion coefficient, and an electrical or electronic part formed by using this rubber or plastic composition. 15

BACKGROUND ART

Recent increases in the degree of integration and density in electronic parts have increased the power consumption per chip, and therefore, an important problem has arisen of how efficiently the generated heat is radiated or how effectively the elevation of the temperature is suppressed. Accordingly, the development of a material having an excellent thermal conductivity, which is suitable as an insulating sealing material for semiconductors, a material for a substrate on which parts are mounted, and a peripheral material such as a heat-radiating spacer, is demanded.

Another problem concerning heat is how to best maintain the conformity of the thermal expansion coefficient of an element and of an insulating material for

20

25

- ----

sealing the element. If there is a difference in the thermal expansion coefficient, the thermal stress is repeatedly applied to the bonding portion by the heat cycle when the operation of an integrated circuit (IC) 5 is stopped with the result that the element is damaged. In general, since a resin has a high thermal expansion coefficient, an inorganic filler having a low thermal expansion coefficient is incorporated into the resin to match the thermal expansion coefficient with that of the element. 10

For example, an epoxy resin containing about 70% by weight of fused silica is generally used as an insulating sealing material for a large-scale integrated circuit (LSI), because of a low thermal expansion coefficient 15 and a good conformability. However, since fused silica has an extremely low thermal conductivity, this epoxy resin material is not suitable for use in a field where heat radiation is very important. Accordingly, a composition having a thermal conductivity of about 60  $\bar{x}$ 20 10<sup>-4</sup> cal/cm·sec·°C, which is formed by filling a large amount of crystalline silica having a high thermal conductivity, is already in practical use. However, crystalline silica having a high thermal conductivity has a defect of a high thermal expansion coefficient. 25 Therefore, the above two problems concerning heat, that is, the problems of heat radiation and thermal expansion conformation, cannot be solved by the use of fused silica or crystalline silica alone.

When fused silica and crystalline silica are simultaneously incorporated, since crystalline silica has a high Mohs hardness and comprises pulverized particles having irregular sharp cutting edges, if the amount of crystalline silica incorporated is increased, the flowability of the composition is drastically 35 reduced and the wear of a kneader or a forming mold is greatly increased, and therefore, the amount of crystalline silica incorporated is restricted. As a means of

reducing the wear, a method can be mentioned in which fused silica having a specific particle size distribution and a low abrasive property is used as a coarse particle fraction and mixed with a fine particle fraction of

5 crystalline silica having a specific particle size distribution, to provide a composition having an excellent flowability and a reduced wear of a mold, as disclosed in Japanese Unexamined Patent Publication No. 58-164250. However, even in this composition, a reduction of the thermal conductivity due to fused silica cannot be avoided.

At present, even in a sealing material having a high thermal conductivity, the thermal conductivity is 60 x 10<sup>-4</sup> cal/cm·sec·°C at highest, and a level of 100 x 10<sup>-4</sup> cal/cm·sec·°C desired as the next target cannot be reached by using crystalline silica. Accordingly, a-alumina, aluminum nitride, and silicon carbide are under consideration as the filler having a higher thermal conductivity than that of crystalline silica, 20 and alumina is promising as the substitute for silica because alumina is relatively inexpensive, has a stable quality, and has a good general-purpose property.

However, in view of the characteristics of existing alumina, existing alumina cannot be considered suitable

25 as a filler for rubbers or plastics. For example, alumina prepared according to the Bayer process consists of irregular-shaped or plate-like primary particles having a size of several µm to about 10 µm at largest. Since this alumina has a large oil absorption, the

30 filling property in rubbers or plastics is poor and the amount filled is limited to about 80% by weight, such that if alumina is incorporated, for example, into an epoxy resin, the thermal conductivity is 60 x 10<sup>-4</sup> cal/cm·sec·°C at highest. It is known that alumina

35 formed by pulverizing electrofused alumina or sintered alumina is usable as a material of an abrasive or refractory. Since alumina of this type consists of

dense corundum particles and the particle size can be optionally adjusted within a broad range of from a fine particle size to a coarse particle size of scores of µm, the oil absorption is small and the filling property in rubbers or plastics is excellent. However, the pulverized particles of alumina of this type have many sharp angles and corundum (α-Al<sub>2</sub>O<sub>3</sub>) has a high Mohs hardness. Therefore, the abrasive property is larger than that of crystalline silica having the same particle size, and corundum has a defect in that bonding wires or semiconductor elements are damaged thereby.

A rounded spherical shape having no cutting edges is desirable for alumina particles. As the process for preparing spherical alumina particles, a flame-spraying process is known in which alumina according to the Bayer process is sprayed into a high-temperature plasma or oxyhydrogen flame, and the alumina is fused and then rapidly cooled to form spherical particles. However, this process is economically disadvantageous in that the heat consumption is large, and although the obtained alumina is composed mainly of α-Al<sub>2</sub>O<sub>3</sub>, it generally contains δ-Al<sub>2</sub>O<sub>3</sub> as a subsidiary component. The presence of this subsidiary component is unpreferable because the thermal conductivity of alumina is decreased by the subsidiary component.

As a means for solving the foregoing problems of the conventional techniques, several processes have been proposed for preparing  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> (corundum) particles having a particle size larger than 5 µm and a regular 30 shape. For example, Japanese Examined Patent Publication No. 60-33763 discloses a process in which aluminum hydroxide having a high sodium content is preliminarily dehydrated, a specific mineralizing agent is added to the dehydration product, and the mixture is calcined in a rotary kiln to obtain coarse particles of alumina. Furthermore, Japanese Unexamined Patent Publication No. 58-181725 discloses a process in which a mineralizing

agent containing fluorine and/or boron is added to dry-type absorption alumina and the mixture is calcined in a rotary kiln to obtain similar coarse particles of alumina. However, coarse particles of alumina prepared according to these processes have a shape including regular cutting edges, as shown in the drawing (microscope photograph) of Japanese Unexamined Patent Publication No. 58-181725, and do not possess a rounded spherical shape. Moreover, Japanese Unexamined Patent Publication No. 56-35494 (U.S. Patent No. 4,307,147) discloses a substrate having a covering film composed of a dispersion of polygonal corundum particles in an organic polymer. These corundum particles, however, are angular and do not possess a rounded spherical shape.

It is considered that, if spherical corundum 15 particles in which sharp cutting edges are eliminated to reduce the abrasive and wearing properties are used and incorporated as a filler in a resin, a rubber or plastic composition having a good filling property, a reduced abrasive and wearing property, an excellent thermal 20 conductivity, and a high thermal conductivity optimal for an insulating sealing material or the like, will be obtained. However, the kind of the resin in which such spherical corundum particles are to be incorporated, the amount added of the particles, and the incorporation 25 method have not been investigated in detail, and a rubber or plastic composition having a high thermal conductivity and practically usable as a sealing material has not yet been developed.

DISCLOSURE OF THE INVENTION

Under this background, research was made into the developing of corundum composed of particles having reduced abrasive and wear properties, which is suitable as a filler, a scratch-free wrapping material or a base material of a ceramic or refractory material, without degradation of the inherent characteristics of corundum particles, such as thermal conductivity, electrical

30

insulating characteristic, and hardness, and as a result, the present invention was completed.

More specifically, in accordance with the present invention, there are provided (1) spherical corundum 5 particles consisting substantially of single particles having an average particle size of 5 to 35 µm and having a shape not including cutting edges, and optionally, having an α-ray radiant quantity smaller than 0.01 C/cm<sup>2</sup>·hr, and (2) a process for the preparation of spherical corundum particles as mentioned above, which comprises adding at least one member selected from the group consisting of halogen compounds, boron compounds, and alumina hydrates to a pulverization product of at least one member selected from the group consisting of electrofused alumina and sintered alumina, which has a specific particle size, heat-treating the mixture at a temperature of at least 1000°C, preferably 1000 to 1550°C, and disintegrating the heat-treated product.

Furthermore, in accordance with the present invention, there is provided (3) a rubber or plastic 20 composition having a high thermal conductivity comprising spherical corundum particles having a maximum particle size smaller than 150 µm and an average particle size of at least 10 µm as single particles, and a shape 25 not including cutting edges. In accordance with a preferred embodiment of the present invention, whereby an excellent effect of reducing burrs is realized at the step of molding a sealing material for a semiconductor, there is provided (4) a rubber or plastic composition having a high thermal conductivity which comprises alumina consisting of 1 to 20% by weight of fine alumina particles having an average particle size smaller than 5 μm, the remainder of the alumina consisting of spherical corundum particles having a maximum size of a single particle that is smaller than 150 µm, an average particle size of at least 10 um, and a shape not including cutting edges. Moreover, in accordance with another

10

15

preferred embodiment of the present invention, whereby an excellent effect of reducing the viscosity of a composition is realized upon incorporation in a liquid rubber or plastic, there is provided (5) a composition 5 having a high thermal conductivity and comprising at least one member selected from rubbers and plastics and, incorporated therein, at least 75% by weight, based on the total composition, of alumina consisting of 10 to 30% by weight of fine alumina particles having an average particle size smaller than 5 µm, the remainder of the alumina consisting of spherical corundum particles having an average particle size of at least 10 µm and a shape not including cutting edges.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1A, 1B, 2A, and 2B are scanning electron microscopes (2500 magnifications) of corundum particles, in which Fig. 1A shows corundum particles obtained in Example 1, Fig. 1B shows corundum particles obtained in Example 2, Fig. 1C shows corundum particles obtained in Comparative Example 1 and Fig. 2B shows corundum particles obtained in Comparative Example 2.

BEST MODE FOR CARRYING OUT THE INVENTION It was noted that a pulverized product of electrofused alumina or sintered alumina, which has been used 25 as an abrasive material or a fine aggregate of a refractory material, having an average particle size of 5 to 35  $\mu m$ , preferably 10 to 25  $\mu m$  (for example, RW 220F or SRW 325F supplied by Showa Denko) has a particle size distribution which is substantially the same as the 30 particle size distribution of a pulverization product of fused silica or crystalline silica ( $\alpha$ -SiO $_2$ ) used at present as a filler of a sealing material for an electronic part. Since such alumina has been molten or heat-treated at a high temperature such as 1500 to 35 1850°C, the crystal of alumina is fully developed and the pulverized product has a particle size distribution desirable for a filler. However, since sharp cutting

edges are formed at the pulverizing step as mentioned above, the pulverized product is not put to practical use as a filler. Accordingly, research was made with a view to improving the shape of the particles while 5 retaining this preferred particle size distribution, and as a result, it was found that, if a small amount of a known chemical heretofore used as a mineralizing agent or crystal-growing agent for alumina, such as a halogen compound or a boron compound, is incorporated into a 10 pulverized product of electrofused alumina or sintered alumina, and the mixture is heat-treated at a temperature of at least 1000°C, sharp angles, that is, cutting edges, of coarse particles of alumina are decreased and simultaneously, the shape is made spherical. The present invention was completed based on this finding.

Electrofused alumina or sintered alumina prepared according to a known process can be used as starting coarse particles of alumina in the present invention. The particle size distribution, determined according to 20 the sedimentation method, of the pulverized product of electrofused or sintered alumina is such that the average particle size is 5 to 35 µm, preferably 10 to 25 µm, and the maximum particle size is not larger than 150 µm, preferably not larger than 74 µm. Where the 25 average particle size of intended spherical corundum particles is smaller than 5 µm, since particles having a rounded shape can be obtained by a known process comprising adding a crystal-growing agent to aluminum hydroxide, the present invention need not be applied. 30 If the average particle size of the starting material exceeds 35 µm or the proportion of particles having a size larger than 150 µm is increased, the effect of reducing cutting edges of the coarse particles is insufficient and good results cannot be obtained. It 35 was found that; if an alumina hydrate, especially aluminum hydroxide or alumina gel, or finely divided

15

alumina having a good thermal reactivity is added to electrofused alumina or sintered alumina and the mixture is heat-treated, the sphericalizing of coarse particles is effectively promoted. From the economical viewpoint, 5 aluminum hydroxide according to the Bayer process (gibbsite crystal) is preferred, and most preferably, the average particle size is smaller than 10  $\mu\text{m}\text{.}$ observation, it was surprisingly confirmed that this sphericalizing promoter acts synergistically on coarse particles of alumina, with chemicals described hereinafter, and irregular and sharp cutting edges are selectively absorbed to sphericalize the coarse particles. As a subsidiary effect, the cohesive force of agglomerates of the heat-treated product is decreased if an alumina hydrate such as aluminum hydroxide or alumina gel is added, and as a result, disintegration into primary particles can be easily accomplished. optimum amount added of the sphericalizing promoting agent depends on the particle size of the pulverized 20 product of electrofused alumina or sintered alumina, but in the case of aluminum hydroxide, preferably the amount added of aluminum hydroxide is 5 to 100% by weight (calculated as alumina) based on electrofused alumina or sintered alumina. If the amount of aluminum hydroxide is smaller than 5% by weight, the cohesive force of the agglomerates becomes strong, and if the amount of aluminum hydroxide exceeds 100% by weight, excessive aluminum hydroxide is included in the form of free fine particles of alumina in the product and good results cannot be obtained.

At least one member selected from known crystal-growing agents for alumina is used as the chemical added at the heat treatment. Namely, halogen compounds, especially fluorine compounds such as AlF $_3$ , NaF, CaF $_2$ , MgF $_2$ , and Na $_3$ AlF $_6$ , and/or boron compounds such as B $_2$ O $_3$ , H $_3$ BO $_3$ , and mNa $_2$ O·nB $_2$ O $_3$  are preferred, and a mixture of a fluoride and a boron compound and a boro-

fluoride compound is particularly preferred. The amount added of the chemical depends on the heating temperature, the residence time in the furnace, and the kind of heating furnace, but it was found that a good effect is 5 attained if the chemical is added in an amount of 0.1 to 4.0% by weight based on the total alumina. Known means such as a single furnace, a tunnel furnace, and a rotary kiln can be used as the heating furnace. Where an alumina hydrate such as aluminum hydroxide is made 10 present together with the chemical, the heating temperature should be higher than the temperature at which the alumina hydrate is substantially converted to  $\alpha$ -alumina, that is, about 1150°C, and if the alumina hydrate is not made present, the intended object of the present invention can be attained by a heating tempe-15 rature higher than 1000°C. In each case, a preferred heating temperature is in the range of 1350 to 1550°C. When the heating temperature is higher than 1550°C, even if aluminum hydroxide is present, the cohesive force of 20 the agglomerates is increased and disintegration to primary particles cannot be easily accomplished. residence time in the heating furnace depends on the heating temperature, but in order to sphericalize the particles, a residence time of at least 30 minutes is necessary. The spherical alumina particles prepared 25 according to the above-mentioned process take the form of secondary agglomerated particles, and therefore, disintegration is carried out in a short time by using a known pulverizing means such as a ball mill, a shaking mill or a jet mill, whereby spherical corundum particles 30 having a desired particle size distribution can be obtained.

If electrofused alumina or sintered alumina and aluminum hydroxide having a low content of a radioactive element such as uranium or thorium are used; spherical corundum particles having a small  $\alpha$ -ray radiant quantity can be prepared. If spherical alumina having a small

 $\alpha$ -ray quantity (less than 0.01 C/cm<sup>2</sup>·hr) is used as a filler of a sealing resin for highly integrated IC, LSI and VLSI, a greater effect of preventing an erroneous operation (so-called soft error) by  $\alpha$ -rays can be attained.

The process for the preparation of the abovementioned spherical corundum particles will now be The process comprises the steps of (1) summarized. using electrofused alumina or sintered alumina having an 10 average particle size of 5 to 35  $\mu\text{m}$ , preferably 10 to 25  $\mu m$ , as the starting material, or optionally, a mixture of said alumina with fine particles of aluminum hydroxide in an amount of 5 to 100% by weight based on said alumina as the starting material, (2) adding 0.1 to 15 4.0% by weight of a halogen compound, especially a fluorine compound such as  $CaF_2$  or  $AlF_3$  , a boron compound such as  $B_2O_3$  or  $H_3BO_3$  , a combination of a fluorine compound and a boron compound, or a borofluoride such as  $\mathrm{NH_4BF_4}$  to the starting material, (3) calcining the 20 mixture at a temperature of at least 1000°C, preferably 1350 to 1550°C, and (4) lightly disintegrating the calcination product to single particles of  $\alpha$ -alumina (corundum).

If necessary, a step (5) of removing coarse particles (having a particle size exceeding 150  $\mu m$ ) by sieving may be optionally set. If the product is used in the field where a high hydrothermal resistance is required, for example, as an epoxy resin sealing material, a step (6) of refining the product by pickling or washing with deionized water becomes indispensable.

The spherical corundum particles prepared according to the above-mentioned process is valuable as a starting material of a finish wrapping material or as a base material of a refractory, glass or ceramics or a composite material thereof, but these spherical corundum particles are especially valuable as a filler of a composite material for an electronic part-mounting

material or electronic part-sealing material.

The spherical corundum of the present invention consists of single particles of corundum and is characteristic over spherical alumina formed by the flame-spray-ing method or plasma-jet method, which is a mixed crystal of α-alumina and δ-alumina and is composed of fine agglomerated particles. For this reason, the spherical corundum of the present invention is especially suitable as a filler for rubbers and plastics having a high thermal conductivity.

As the polymer in which the spherical corundum particles are to be incorporated, there can be mentioned thermoplastic engineering plastics such as polyethylene, polypropylene, nylons, polycarbonates, and polyphenylene 15 sulfide, thermosetting plastics such as epoxy resins, unsaturated polyester resins, and phenolic resins, and elastomers such as silicone rubbers. Epoxy resins used for sealing IC's and LSI's, such as phenol-novolak resins and novolak-epoxy resins, silicone resins, and 20 silicone rubbers for heat sinks, are preferred as the The amount filled of the spherical corundum particles varies according to the use, but when the spherical corundum particles are used as a composition with a powdery resin for a semiconductor chip-mounting 25 material, preferably the spherical corundum particles are filled in an amount of 80 to 92% by weight. amount filled of the spherical corundum particles is smaller than 80% by weight, a thermal conductivity higher than 60 x 10<sup>-4</sup> cal/cm·sec·°C cannot be obtained, 30 and if the amount filled of the spherical corundum particles exceeds 92% by weight, the plastic flowability of the composition becomes insufficient and molding is difficult.

In this case, the maximum particle size of the spherical corundum particles is 150  $\mu m$ , and if particles having a size larger than this upper limit are incorporated, when the particles are filled in a rubber or

plastic, the surface becomes rough and wear is increased, and good results cannot be obtained. There is a range of the average particle size suitable for attaining a good balance among the less abrasive property, the filling property, and the flowability. If the average particle size is smaller than 5  $\mu m$ , the flowability is reduced, and if the average particle size exceeds 35  $\mu m$ , the wear becomes larger than in the case of crystalline silica. It is especially preferable that the average particle size is from 10 to 25  $\mu m$ .

The method for molding the highly thermally conductive rubber or plastic composition of the present invention is not particularly critical and an appropriate method is selected according to the kind of rubber or plastic. For example, known molding methods such as transfer molding, press molding, roll molding, and cast molding can be adopted.

However, when a semiconductor is sealed by transfermolding using a compound formed by incorporating spheri-20 cal alumina as described above, a resin burr is likely to adhere to a lead frame acting as a lead terminal of an IC or a transistor, and the subsequent plating step is not easily performed or an operation of removing the burr must be additionally performed. This disadvantage can be eliminated by adding finely divided alumina B to spherical corundum particles A to adjust the particle size distribution. Namely, this plastic composition comprises (1) alumina consisting of 1 to 20% by weight of finely divided alumina B having an average particle size smaller than 5  $\mu m$ , the remainder of the alumina 30 consisting of spherical corundum particles A having a maximum single particle size smaller than 150  $\mu m$ , an average particle size of at least 10  $\,\mu\text{m}\text{,}$  and a shape not including cutting edges, and (2) at least one member selected from plastics used for semiconductor-sealing materials, such as epoxy resins and silicone resins.

The finely divided alumina B is incorporated in

spherical corundum particles A in this composition because, since the proportion of particles having medium and fine particles is increased, the formation of a burr by bleeding of the resin from the spherical corundum 5 particles can be prevented. Moreover, the mixing ratio of finely divided alumina B is limited to the abovementioned range because, if the amount of finely divided alumina B is smaller than 1% by weight, the effect of preventing the formation of a burr is insufficient, and 10 if the amount of finely divided alumina B is larger than 20% by weight, the wear property is increased or the moldability is degraded. The particles size of spherical corundum particles A is not particularly critical. However, if particles having a maximum particle size larger than 150  $\mu m$  are present, since the hardness of 15 alumina is high, even though it has a spherical shape, the abarsive property is increased and good results cannot be obtained. The average particle size of finely divided alumina B is limited to smaller than 5  $\mu m$ 20 because the wearing property is increased in the case of alumina having an average particle size exceeding this limit.

As is apparent from the foregoing description, this resin composition having a high thermal conductivity is especially suitable for sealing semiconductors and is characterized by the alumina incorporated, and this alumina is incorporated in a main component of a semiconductor-sealing material, such as an epoxy resin represented by a cresol-novolak type epoxy resin or a phenolic resin represented by a novolak type phenolic resin, as in the conventional technique. A filler other than alumina, for example, crystalline silica, a flame retardant, a lubricant, a parting agent, a colorant, and a coupling agent can be added, so far as the intended object is attained.

According to another valuable application mode of the present invention, the spherical corundum is

incorporated in a liquid rubber or plastic. In this case, a rubber or plastic composition having a low viscosity and an excellent castability and roll processability can be obtained by using a mixture comprising spherical corundum particles A of the present invention and finely divided alumina B, at a B/(A + B) ratio of from 0.10 to 0.30.

Namely, this rubber or plastic composition having a high thermal conductivity comprises (1) alumina consisting of 10 to 30% by weight of finely divided alumina having an average particle size smaller than 5  $\mu$ m, the remainder of the alumina consisting of spherical corundum particles having an average particle size of at least 10  $\mu$ m, and (2) at least one member selected from rubbers and plastics, wherein the amount incorporated of the alumina is at least 75% by weight based on the total composition.

The spherical corundum particles A used in this composition have an average particle size of at least 20 10 um. If the average particle size is smaller than 10 µm, the viscosity-reducing effect by the combined use of spherical corundum particles A with finely divided alumina B is insufficient and good results cannot be obtained. The process for the preparation of finely 25 divided alumina is not particularly critical, but finely divided alumina having an average particle size smaller than 5 µm is used. This is because, if finely divided alumina having a larger average particle size is used, the viscosity of the composition is increased and a 30 satisfactory effect cannot be attained.

By using alumina consisting of spherical corundum particles and 10 to 30% by weight (based on the total alumina) of finely divided alumina B, even if the alumina is incorporated in an amount of at least 75% by weight (based on the total composition), preferably at least 80% by weight, in a liquid rubber or plastic, an increase of the viscosity of the resin composition,

which results in a degradation of the operation adaptability, can be prevented, and a rubber or plastic composition having a desired high thermal conductivity can be obtained. If the amount of finely divided alumina B is smaller than 10% by weight based on the total alumina, when the alumina is filled at a high ratio, the effect of reducing the viscosity of the liquid resin composition is insufficient, and if the amount of finely divided alumina B exceeds 30% by weight, the abrasive property is increased or the moldability is degraded. If the amount incorporated of the alumina is smaller than 75% by weight, a desired high thermal conductivity cannot be attained.

The kind of liquid rubber or plastic is not particularly critical, but epoxy resins, unsaturated polyester
resins, phenolic resins, silicone resins, and silicone
rubbers are preferred. A flame retardant, a colorant, a
lubricant, a precipitation-preventing agent, a defoamer,
and other fillers may be optionally added to the composition, so far as the intended object of the present
invention is attained.

The present invention will now be described with reference to the following examples.

#### Example 1

To 1000 g of commercially available pulverized sintered alumina (SRW-325F supplied by Showa Denko; average particle size = 12 μm, maximum particle size = 48 μm) were added 20 g of anhydrous aluminum fluoride of the reagent class and 20 g of boric acid of the reagent class. The mixture was charged in a heat-resistant vessel formed of an alumina ceramic and heated at 1450°C for 3 hours in a kanthal electric furnace. The hardness of agglomerates was determined with respect to the withdrawn calcination product. The calcination product was disintegrated for 30 minutes in a vibration ball mill (SM-0.6 supplied by Kawasaki Jukogyo; 100 g of the calcination product and 1000 g of HD alumina balls

having a diameter of 10 mm were charged). The Na<sub>2</sub>O content of the disintegration product was determined and the particle size distribution was determined by a laser diffraction technique (Cilas), and a scanning electron microscope photograph (2500 magnifications) was taken. The results are shown in the Example 1 Column in Table 1 and Fig. 1A.

#### Example 2

A calcination product and a disintegration product thereof were prepared by using commercially available pulverized electrofused alumina RW-92(325F) supplied by Showa Denko; average particle size = 13 μμ΄ μα+†μξμ ραℓτ†ε}ε σ†+ε ζ 48 μm . The additives, the amounts incorporated, and the preparation method were the same as described in Example 1. The hardness of agglomerates of the calcination product, the total Na<sub>2</sub>O content of the disintegration product, the particle size distribution, and the shape of a-alumina particles were determined in the same manner as described in Example 1. The results are shown in the Example 2 Column in Table 1 and Fig. 1B.

#### Comparative Example 1

The same pulverized sintered alumina as used in Example 1 was heat-treated alone under the same conditions as described in Example 1, without the addition of the chemicals, and a calcination product and the disintegration product thereof were obtained. These samples were evaluated in the same manner as described in Example 1. The results are shown in the Comparative 30 Example 1 Column in Table 1 and Fig. 2A.

#### Comparative Example 2

The same pulverized electrofused alumina as used in Example 2 was heat-treated alone under the same conditions as described in Example 2, without the addition of the chemicals, and the obtained calcination product and disintegration product were evaluated. The results are shown in the Comparative Example 2 Column in Table 1

and Fig. 2B.

From these results, it is seen that, in the corundum particles of the present invention (Examples 1 and 2), the average particle size was 16.0  $\mu m$  and the maximum particle size was 50  $\mu m$  (Table 1), and as shown in Figs. 1A and 1B, the corundum particles had a rounded spherical shape having a size of 5 to 50  $\mu m$ . On the other hand, no change of the shape was caused by the heat treatment in the samples of Comparative Examples 1 and 2, and it was confirmed that the particles had an irregular shape including sharp cutting edges.

Н	I
Φ	ı
굮	ł
ਙ	Į
ᇊ	i

Comparative Example 2	RW-92 (325F)	not added			Slightly hard	0.07		8	43	13.0		same as in Comparative Example 1	irregular
Comparative Example 1	SRW (325F)	not added		$1450^{\circ}$ C x 3 hours	Slightly hard	0.15		<b>6</b>	45	12.0		1 - 50 microns	irregular
Example 2	RW-92 (325F)	Same as in Example 1	Same as in Example 1	1450°C >	Slightly hard	0.01		12	50	18.5		same as in Example 1	spherical
Example, 1	SRW (325F)	Alr <sub>3</sub> 2%	H <sub>3</sub> BO <sub>3</sub> 2%		Slightly harđ	0.01		6	25	1.6.0		5 - 50 microns	spherical
	Starting Material	Crystal-Growing Agent		Heating Conditions	Hardness of Agglomerates	Analysis total sodium content $(Na_2O)$ %	particle size distribution *2	+32 microns (%)	-10 microns (%)	average particle size (microns)	a-alumina particles *3	Size	Shape

**EST AVAILABLE COPY** 

#### Note

- \*1: analysis value obtained with respect to the sample disintegrated for 30 minutes by a vibration pot mill.
- \*2: determined according to the laser diffraction method (Cilas)
- \*3: determined by a scanning electron microscope.

From the results of the Examples and Comparative 10 Examples, it is understood that the particles according to the present invention are quite different from the conventional particles having an irregular shape including sharp cutting edges, and are spherical corundum particles having a uniform shape and free of cutting 15 edges.

#### Example 3

The same sintered alumina as used in Example 1 was mixed with 10% by weight (exteriorly calculated as alumina) of finely divided aluminum hydroxide having an 20 average diameter of 1  $\mu m$ , and the same chemicals as used in Example 1 were added in the same amounts as in Example 1. The mixture was calcined and disintegrated in the same manner as described in Example 1. obtained sample was evaluated in the same manner as described in Example 1. The results are shown in the Example 3 Column in Table 2.

#### Example 4

The evaluation results of a sample obtained in the same manner as described in Example 3, except that the amount added of aluminum hydroxide was changed to 17% by weight, are shown in the Example 4 Column in Table 2.

#### Example 5

The evaluation results of a sample obtained in the same manner as described in Example 3, except that the amount added of aluminum hydroxide was changed to 30% by weight, are shown in the Example 5 Column in Table 2.

#### Comparative Example 3

25

ball mill and passed through a sieve (Tyler sieve; mesh size = 104 microns). The residue on the sieve was removed. The alumina was mixed with 30% by weight of aluminum hydroxide having an average particle size of about 5 µm and with 2.0% by weight of anhydrous aluminum fluoride and 2.0% by weight of boric acid as the chemicals. The mixture was calcined and disintegrated in the same manner as described in Example 1. The evaluation results of the obtained sample are shown the Example 7 Column in Table 3.

## Example 8

20

Commercially available electrofused alumina [RW-92(220F)] supplied by Showa Denko; average particle size = 28.5  $\mu$ m, maximum particle size = 196  $\mu$ m] was passed through a 150-mesh sieve, and the particles which had passed through the sieve were evaluated in the same manner as described in Example 7. The evaluation results are shown in the Example 8 Column in Table 3.

For comparison, the sample formed without the addition of aluminum hydroxide was similarly tested (the results are not shown).

Where the procedures of Examples 7 and 8 were repeated without the addition of aluminum hydroxide, the particles of the calcination product were bonded to one 25 another in the semi-fused state, and disintegration by the mill was difficult. However, where aluminum hydroxide was incorporated, the calcination product could be easily disintegrated into primary particles.

ω	
ᅻ	
넒	
Ë	

	щ	Example 3	Example 4	Example 5	Comparative Example 3	
Starting Material						
SRW 325F (wt.%)		100	100	100	100	
aluminum hydroxide (wt.8)		10	17	30	30	
Crystal—Growing Agents	,	Alf <sub>3</sub> 2% H <sub>3</sub> BO <sub>3</sub> 2%	Alf. <sub>3</sub> 2% H <sub>3</sub> BO <sub>3</sub> 2%	Alf <sub>3</sub> 2% H <sub>3</sub> BO <sub>3</sub> 2%	not added	
Heating Conditions			1450°C 3	1450°C x 3 hours		
Hardness of Agglomerates		soft	soft	very soft	very soft	
Analysis total sodium content (Na <sub>2</sub> O Volumes particle size distribution	(Na <sub>2</sub> O)% ution	0.01	0.01	0.01	0.25	
+32 microns (%)	,	17	11	9	ri	
-10 microns (%)		22	. 28	30	63	
average particle size (microns)	microns)	19.4	16.5	15.0	6.8	
a-alumina particles			٠			
Size (microns)		5 - 50	4 - 50	3 - 50		
Shape		spherical	spherical	spherical, partially fine particles	mixture of irregular particles and fine particles	

# JEST AVAILABLE COPY

Table 3

		Examp	le 7	Example 8		
Starting 1	Material	pulver SRW 48		RW-92 (200F)		
Alumin	m Hydroxide (5 microns)	30	& ·	30%		
Crystal-Growing Agent			2%	same as in Example 7		
		H <sub>3</sub> BO <sub>3</sub>	2%	same as in Example 7		
Heating Conditions			1450°C x 3 hours			
Hardness of Calcination Product			oft	very soft		
Analysis Values	total sodium content (Na <sub>2</sub> 0 wt.%)	0.02	2	0.02		
	particle size distribution					
	+32 microns (%)	26		35		
	-10 microns (%)	12		15		
	average particle size (microns)	21.7		25.6		
	a-alumina particles					
	size	5 - 80 microns		5 - 80 microns		
	shape	spheri	cal	spherical		

#### Note

\*1: sample obtained by pulverization for 1 hour by vibrating ball mill and passing through 150-mesh sieve (average particle size was 11 microns).

With respect to the samples of Examples 7 and 8, the measurement of the particle size distribution and the electron microscope observation were carried out. It was confirmed that each of the products of Examples 7 and 8 consisted of spherical coarse particles of  $\alpha$ -alumina particles having a particle size of 5 to 80 microns.

# Example 9

Commercially available low-a-ray-radiant alumina (having an a-ray radiant quantity smaller than 0.01 C/cm<sup>2</sup>·hr) was electrofused, and the obtained ingot was disintegrated, pulverized and classified under conditions such that a radioactive element was not included. The obtained electrofused alumina coarse particles 15 having an average particle size of 20 microns and a maximum particle size of 74 microns (the α-ray radiant quantity was 0.005 C/cm<sup>2</sup>·hr) were mixed with 30% by weight of aluminum hydroxide (average particle size of 5 microns) of the low  $\alpha$ -ray-radiant type ( $\alpha$ -ray radiant 20 quantity was 0.005 C/cm<sup>2</sup>·hr) obtained according to the known method, and 0.5% by weight of boric acid and 0.5% by weight of anhydrous aluminum fluoride were added as the chemical. The mixture was charged in a heatresistant vessel formed of an alumina ceramic and heated 25 at 1500°C for 3 hours in a kanthal electric furnace. The calcination product was pulverized for about 30 minutes by a vibration ball mill. The particle size distribution was determined and the size and shape of the particles were evaluated by an electron microscope. It was confirmed that the starting particles were changed to spherical coarse a-alumina particles having a particle size of 3 to 50 microns. The  $\alpha$ -ray radiant quantity of the sample was 0.004 C/cm<sup>2</sup>·hr.

#### Example 10

A basic recipe shown in Table 4 was set by using as the main component an epoxy resin for a sealing material for semiconductors.

35

Table 4

Materials	Amounts (parts by weight)
epoxy resin *1	100
phenolic resin *2	50
curing promoter *3	1
carnauba wax *4	3
alumina	variable

#### Note

- \*1: Sumiepoxy ESCN-220F (softening point = 77.6°C) supplied by Sumitomo Chemical K.K.
- \*2: Sumilite Resin PR-51688 (softening point = 98°C) supplied by Sumitomo Durez Co.
- \*3: Imidazole C-17Z supplied by Shikoku Kasei Kogyo K.K.
- \*4: supplied by Toa Chemical Industries Co., Ltd.

A composition comprising 650 parts (80.8% by weight), 850 parts (84.7% by weight) or 950 parts (86.0% by weight) of spherical corundum particles having the characteristics shown in Table 5 and prepared in Example 25 7 was kneaded for 3 minutes by two rolls having a surface temperature maintained at 105 to 115°C. After cooling, the product mass was disintegrated in a mortar and classified to a size smaller than 16 mesh. having a diameter of 50 mm were formed. With respect to 30 each of the above-mentioned three compositions, the spiral flow (flow characteristic of the composition) and the thermal conductivity and thermal expansion coefficient of a test piece obtained by press molding were determined. The thermal conductivity was measured by 35 the non-stationary hot wire method by using Shorthrerm (R) QTM-D II supplied by Showa Denko, the thermal expansion coefficient was expressed by the thermal expansion

coefficient  $\alpha_1$  at temperatures lower than the glass transition temperature, and the thermal expansion coefficient  $\alpha_2$  at temperatures higher than the glass transition temperature.

The spiral flow test was carried out according to EMMI-I-66 in the following manner. Namely, a spiral cavity mold was heated at 149 + 3°C and the sample was collected in an amount such that the cull thickness was 0.30 to 0.35 cm, and molding was carried out under a 10 pressure of 70  $\pm$  2 kg/cm<sup>2</sup>. The distance which the sample moved along the spiral groove in the mold was The result of the spiral test indicates the measured. flowability of the sample and is important as a factor for evaluating the moldability.

In order to evaluate the abrasive property of the filler at the roll kneading step, the degree of contamination of the composition by wear of the hard chromium plating formed on the roll surface was judged with the naked eye. Namely, the degree of incorporation of a 20 peeled piece (black) of the hard chromium plating in the composition (white) by friction between alumina and the roll surface was evaluated with the naked eye according to the following five stages: 1) no contamination, 2) faint contamination, 3) little contamination, 4) conspicuous contamination and 5) extreme contamination, and the degree of wear was determined by this contamination degree.

Note, in Table 5, the extraction impurities were measured by the pressure cooker test (160°C x 20 hours). The pH value shown was the pH value of the supernatant 30 of a slurry containing 30% by weight of the sample. loose bulk specific gravity was measured by allowing the sample to fall naturally in a graduated cylinder, and the heavily packed bulk specific gravity was measured by 35 the tapping filling method. Furthermore, the average particle size was measured by the laser beam diffraction method (Cilas).

5

. 15

Table 5

Characteris	Sample tics	Spherical Corundum (Example)	Coarse Alumina (Comparative Example)	
moisture	(%)	0.01	0.02	
ignition loss	(용) ·	0.02	0.05	
Fe <sub>2</sub> O <sub>3</sub>	(%)	0.02	0.09	
sio <sub>2</sub>	(%)	0.13	0.06	
Na <sub>2</sub> O	(%)	0.02	0.20	
extraction impurities	·			
Na <sup>†</sup> ion	(ppm)	15.0	50.0	
Cl ion	(ppm)	0.4	2.0	
extracted water elec conductivity	tric (mS/cm)	30	60	
рĦ	(30% by weight)	5.5	6.0	
bulk density gravity	(g/cm <sup>3</sup> )			
loose	·	1.70	1.60	
packed		2.40	2.20	
average particle size	(µm)	21.7	10.0	
BET (specific surface a	rea) (m <sup>2</sup> /g)	0.35	0.75	

# Comparative Example 4

A composition comprising 350 parts (70% by weight) of crystalline silica (Crystalite A-1 supplied by K.K. Tatsumori) having an average particle size of 9.7  $\mu\text{m}$  and 30 a maximum particle size of 48  $\mu m$  or fused silica (FuseLex E-1 supplied by K.K. Tatsumori) having an average particle size of 12.1  $\mu m$  and a maximum particle size of  $48\ \mu m$  instead of spherical corundum was prepared in the same manner as described in Example 10, and the composition was evaluated in the same manner as described in Example 10.

# Comparative Example 5

- A composition comprising 650 parts of a sample
  formed by refining pulverized low-sodium alumina of the
  Bayer process (plate-like particles having an average
  size of 5 μm) by washing with pure water or a sample
  ("Coarse Alumina" in Table 5) obtained by purifying
  commercially available sintered alumina (SRW 325F
  supplied by Showa Denko) having an average particle size
  of 10.0 μm by washing with pure water, instead of the
  spherical corundum, was prepared in the same manner as
  in Example 10, and the characteristics were evaluated as
  in Example 10.
- The results obtained in Example 10 and Comparative Examples 4 and 5 are shown in Table 6.

Table 6

		XX	Example 10	c	Comparati Example	Comparative Example 4	Comparati Example	Comparative Example 5	
Kind of Alumina	Kind of Alumina spherical corundum	650	850	950			•		
TOTT 1	alumina by Bayer process						650		
	sintered alumina							650	
Silica	fused silica				350				
	crystalline silica					350			
Characteristics	wearing index	2	ю	ო	Н	m	4	Ŋ	•
Toronsociono To	spiral flow length (cm)	65	45	37	47	95	20	39	
	thermal conductivity (x 10 <sup>-4</sup> cal/cm·sec·°C)	62	75	84	16	34	55	53	
	thermal expansion coefficient $\alpha_1$ (x $10^{-5}/^{\circ}$ C)	2.2	1.9	1.8	1.9	3.4	۲. 9.	2.2	
	thermal expansion coefficient $\alpha_2$ (x $10^{-5}/^{\circ}$ C)	9.9	0.9	5.8	5.6	8.1	.8.5	7.0	
	glass transition temperature (°C)	179	175	173	178	173	1.72	173	

As is apparent from the foregoing results, the spherical corundum of the present invention can be easily filled in a resin even at a weight ratio higher than 80% by weight, the wear by the spherical corundum of the present invention is much lower than that of crystalline silica, alumina by the Bayer process and sintered alumina, and the flowability represented by the spiral flow length is greatly improved. Accordingly, a thermal conductivity higher than 60 x 10<sup>-4</sup> cal/cm·sec·°C can be easily obtained, and by increasing the amount incorporated of the spherical corundum, a low thermal expansion coefficient comparable to that of the fused silica-containing composition can be obtained.

#### Example 11

15 A composition was prepared by using an epoxy resin having a softening point of 68.6°C (Smiepoxy ESCN-220-3 supplied by Sumitomo Chemical K.K.) instead of the epoxy resin used in Example 10, changing the phenolic resin used as the curing agent in Example 10 to a phenolic resin having a softening point of 79°C (Shonol BRG-556 supplied by Showa Highpolymer Co., Ltd.) and incorporating 1050 parts (87.5% by weight) of spherical corundum shown in Table 5 without changing the amounts of other additives used in Example 10, and the characteristics of the composition were evaluated in the same manner as described in Example 10.

It was found that the wear index of the composition was 3, the spiral flow length was 40 cm, the thermal conductivity was 93 x  $10^{-4}$  cal/cm·sec·°C, and the thermal expansion coefficient  $\alpha_1$  was 1.7 x  $10^{-5}$ /°C.

# Comparative Example 6

Spherical corundum purified products having average particle sizes of about 4  $\mu m$  and about 40  $\mu m$ , respectively, were prepared from sintered alumina finely divided into an average particle size of 3  $\mu m$  and sintered alumina having an average particle size of 37  $\mu m$ , respectively. It was intended to prepare com-

positions by using these spherical corundum purified products in the same manner as described in Example 11. In the case of alumina having an average particle size of 4 µm, the composition became hard and roll kneading was impossible. In the case of alumina having an average particle size of 40 µm, kneading was relatively easy but the wear index was increased because the particle size was too large.

# Examples 12 through 14 and Comparative Examples 7 10 through 11

Three kinds of alumina particles having characteristics shown in Table 7, that is, spherical alumina A, fine alumina B and medium alumina C, were mixed at a ratio shown in Table 8 to form a mixed filler. The filler was incorporated in an amount shown in Table 9 into a resin to form a semiconductor-sealing resin composition.

With respect to the obtained material, the spiral flow length was measured according to the EMMI method, and the length of the resin burr was measured. Moreover, in order to evaluate the wear property of the compound, the contamination of the compound with the hard chromium plating formed on the roll surface at the roll kneading step was judged with the naked eye and expressed by the index.

Table 7

	Spherical Alumina A	Fine Alumina B <sup>2</sup>	Medium Alumina C <sup>3)</sup>
Average Particle Size	20	1.5	10
Maximum Particle Size	64	6	32
Shape	spherical	irregular	irregular

# . Note

- 1) spherical alumina
- 2) AL-45-1 supplied by Showa Denko K.K.
- 3) SRW 325F supplied by Showa Denko K.K.

Table 8

					<del> </del>			
	Ex	ample	No.	Cc	mparat	ive E	kample	No.
	12	13	_14_		<u>      8                              </u>	9	10	11
spherical alumina A <sup>1)</sup>	99	90	80	100	70	50		80
fine alumina B <sup>2)</sup>	1	10	20		30	50	100	
medium alumina C <sup>3)</sup>					•			20
	fine alumina B <sup>2)</sup>	spherical 1 99 fine alumina B <sup>2</sup> 1	spherical 199 90  fine alumina B <sup>2</sup> 1 10	spherical 99 90 80  fine alumina B <sup>2</sup> 1 10 20	12 13 14 7  spherical 1 99 90 80 100  fine alumina B <sup>2</sup> 1 10 20	12     13     14     7     8       spherical alumina A     99     90     80     100     70       fine alumina B     1     10     20     30	12         13         14         7         8         9           spherical alumina All         99         90         80         100         70         50           fine alumina B <sup>2</sup> 1         10         20         30         50	12         13         14         7         8         9         10           spherical alumina Allowina Allowina Bloom         99         90         80         100         70         50           fine alumina Bloom         1         10         20         30         50         100

## Table 9

Materials		Amounts (g)
Epoxy Resin	1)	100
Phenolic Resin	2)	50
Curing Promoter	·3)	1 .
Mold Release	4)	3
Alumina		850

# Note

- 1) ESCN-220-3 supplied by Sumitomo Chemical K.K.
- 2) BRG-556 supplied by Showa Highpolymer Co., Ltd.
- 3) C-17Z supplied by Shikoku Chemical K.K.
- 4) carnauba wax supplied by Hoechst

The length of the resin burr referred to herein means the length of a burr formed when the molding material was transfer-molded at a width of 10 mm and a slit thickness of 10, 30, 75 or 98  $\mu m$ . If the burr length is smaller than 10 mm at each slit thickness, no problem arises.

Table 10

	· .	Ex	ample	No.	Cc	mparat	ive Ex	ample	No.
		12	_13_	14		8_	9	10	11
Ratio	B/A + B	0.01	0.10	0.20	0	0.30	0.50	1.00	-
Characte- ristics	spiral flow length (cm) <sup>1)</sup>	55	60	62	52	55	35	29	38
	Wear index <sup>2)</sup>	2	2	2	2	3	4	5	5
burr	10 µm	4 .	2	2	11	2	7	30	20
strength (mm)	30 µm	·6	3	3	25	8	10	45	26
	76 µm	8	4	4	38	10	15	50	41
	98 µm	10	5	5	45	15	20	50	50

#### Note

- 1) 149  $\pm$  3°C, 70  $\pm$  2 kg/cm<sup>2</sup>
- 2) 1: no contamination
  - 2: faint contamination
    - 3: little contamination
    - 4: conspicious contamination
    - 5: extreme contamination

As is apparent from the test results shown in Table 10, only in Examples 12 through 14 where the added alumina was fine alumina B and the mixing ratio was 0.01 to 0.20, was the wear property low, the formation of a resin burn controlled, and the flowability represented by the spiral flow length large.

In Comparative Examples 8 and 9, where even if the added alumina was alumina B, the ratio of the alumina B was outside the range specified in the present invention, the wear index was increased although formation of a burr was controlled to a certain extent. In Comparative Example 10, where all of the filled alumina was the fine alumina, wear was extreme, the flowability was reduced, the burr length was large, and a satisfactory material could not be obtained. In Comparative Example 11, where the added alumina was medium alumina having an average particle size exceeding 5 microns, the spiral flow length was short, wear was extreme, and the formation of burrs was not controlled.

Note, the thermal conductivities obtained in these 15 examples were 74 to 75 x  $10^{-4}$  cal/cm·sec·°C.

Examples 15 through 18 and Comparative Examples 12 through 17

The alumina shown in Table 11 was incorporated at a ratio shown in Table 12 into an epoxy resin to obtain a - 20—resin composition having a high thermal conductivity. The mixing ratio of the alumina particles in the added alumina was as shown in Table 13. Note, alumina B, alumina C, and alumina D were according to the Bayer process.

• Table 11
Characteristics of Alumina

Items	Spherical Corundum	Fine Alumina	Medium Alumina	Medium Alumina	Sintered Alumina	Spherical Corundum
	A	B	<u>C</u>	D	E	F
Average Particle Size (μπ)	20	1	6	.8	12	9
Maximum Particle Size (µm)	64	12	16	24	32	48

Table 12
Mixing Ratio

Material	Amount Incorporated
Epoxy Resin <sup>1)</sup>	50 g
Curing Agent <sup>2)</sup>	40 g
Alumina	200; - 400 g

# Note

- 1) Epikote 815 supplied by Shell Chemical
- 2) NH-2200 supplied by Hitachi Chemical Co., Ltd.

Table 13
Composition (%) of Added Alumina

	Example No.				Comparative Example No.				
	_15_	_16_	_17_	_12	_13_	14	_15	16	17
Spherical Corundum A	90	80	70	100	60	80			
Fine Alumina B	10	20	30		40				20
Medium Alumina C						20			
Medium Alumina D							100		
Sintered Alumina E								100	
Spherical Corundum F									80

The viscosity of each of the so-obtained compounds was measured, and the results are shown in Table 14.

Table 14
Viscosity (poise) of Compound

Amount (g)	Example No.			Comparative Example No.					
of Added Alumina	15	_16_	_17_	12	_13_	_14_	15	_16	17_
200	69	65	68	70	85	70	232	172	125
250	130	105	135	153	192	148	785	671	391
300	226	173	229	371	503	335	1920	2390	980
350	478	358	500	750	1020	721	above. 3000	above 3000	1970
400	724	550	770	1300	1630	1250	above 3000	above 3000	above 3000

From the experimental results shown in Table 14, it 20 is seen that, only when the fine alumina having an average particle size smaller than 5 µm was incorporated in an amount of 10 to 30% by weight in spherical corundum, was the viscosity of the resulting compound low and the 25 compound had a good operation adaptability even if the alumina was added in a large amount. In Comparative Example 12, where spherical corundum alone was incorporated, or in Comparative Example 13, where the ratio of fine alumina to spherical corundum was outside the range 30 specified in the present invention, the viscosity was In Comparative Example 14 where the average increased. particle size of added fine alumina was larger than 5  $\mu m$ , even if the mixing ratio of the fine alumina was 10 to 30% by weight, when the alumina filler was 35 incorporated in a large amount, the viscosity was high and a compound having a good operation adaptability could not be obtained. Similarly, in Comparative

Example 15, where the particle size of the added alumina was relatively large, in Comparative Example 16, where the pulverized sintered alumina was incorporated, or in Comparative Example 17, where the average particle size of spherical corundum particles was smaller than 10  $\mu m$ , a satisfactory compound was not obtained.

The alumina filler was gradually added to the resin, and when the viscosity of the compound reached 500 poise, the ratio of the alumina in the compound was determined. The compound at this time was cured and the thermal conductivity was measured. The results are shown in Table 15.

Table 15

	Example No.			Comparative Example No.					
	_15_	<u>16</u>		12	_13_	_14_	_15_	16	17
Ratio (% by weight) of Alumina at which Viscosity Reached 500 poise	80	81	80	78	77	79	72	73	74
Thermal Conductivity 10 <sup>-4</sup> cal/cm·sec·°C	53	56	53	45	42	<b>49</b>	31	33	35

It is considered that the operation adaptability of a liquid compound is drastically degraded if the viscosity of the compound exceeds 500 poise. As is seen from Table 15, only in Examples 15 through 17 where spherical corundum particles containing 10 to 30% by weight of fine alumina having an average particle size smaller than 5 µm were incorporated, was the viscosity of the compound lower than 500 poise even if the alumina was incorporated in an amount of 80% or more, and a thermal conductivity higher than 50 x 10<sup>-4</sup> cal/cm·sec·°C obtained. On the other hand, where spherical corundum particles were used, the average particle size of the added alumina was

larger than 5 µm or the average particle size of the added alumina was smaller than 5 µm, the mixing ratio of the added alumina was outside the range of 10 to 30% by weight, as in Comparative Examples 12 through 14, or in where pulverized sintered alumina having a relatively large particle size or spherical corundum having an average particle size smaller than 10 µm was used, as in Comparative Examples 12 and 15 through 17, the viscosity reached 500 poise when the amount added of the alumina was still small, and therefore, a compound providing a high thermal conductivity could not be obtained.

#### INDUSTRIAL APPLICABILITY

The spherical corundum of the present invention has a low abrasive property and excellent flow characte
ristics and is valuable as a filler for a sealing material for electronic parts, a starting material of a finish wrapping material, a base material of a refractory, glass or ceramic. Moreover, a rubber or plastic composition comprising a high concentration of this spherical corundum has a high thermal conductivity and a low thermal expansion coefficient, and therefore, this composition is especially valuable as an insulating sealing material for a semiconductor device.

#### CLAIMS

- l. Spherical corundum particles characterized by consisting substantially of single particles, having an average particle size of 5 to 35  $\mu m$  and having a shape not including cutting edges.
- 5 2. Spherical corundum particles characterized by having a maximum particle size smaller than 150 μm and an average particle size of 5 to 35 μm as single particles, having a shape not including cutting edges, and having an α-ray radiant quantity smaller than 0.01  $C/cm^2 \cdot hr$ .
- 3. A process for the preparation of spherical corundum particles, characterized by adding one or more members selected from the group consisting of halogen compounds, boron compounds and alumina hydrates to a pulverized product of at least one member of electrofused alumina and sintered alumina, which has a maximum particle size smaller than 150 µm and an average particles size of 5 to 35 µm as single particles, heattreating the mixture at a temperature of at least 1000°C, and disintegrating the heat-treated product.
  - 4. A process for the preparation of spherical corundum particles according to claim 3, wherein the heat treatment is carried out at 1000 to 1550°C.
- 5. A process for the preparation of spherical corundum particles according to claim 3, wherein the halogen compound is one or more members selected from the group consisting of AlF<sub>3</sub>, NaF, CaF<sub>2</sub>, MgF<sub>2</sub> and Na<sub>3</sub>AlF<sub>6</sub>.
- 6. A process for the preparation of spherical corundum particles according to claim 3, wherein the boron compound is one or more members selected from the group consisting of B<sub>2</sub>O<sub>3</sub> , H<sub>3</sub>BO<sub>3</sub> , mNa<sub>2</sub>O·nB<sub>2</sub>O<sub>3</sub> and borofluorides.
- 7. A process for the preparation of spherical 35 corundum particles according to claim 3, wherein the alumina hydrate is at least an aluminum hydroxide

NSDOCID: <EP\_\_\_\_0276321A1\_I\_>

produced by the Bayer process and alumina gel.

- 8. A process for the preparation of spherical corundum particles according to claim 3, wherein each of electrofused alumina, sintered alumina and alumina hydrate has an  $\alpha$ -ray radiant quantity smaller than 0.01 C/cm<sup>2</sup>·hr.
- 9. Spherical corundum particles characterized by being formed by adding one or more members selected from the group consisting of halogen compounds, boron compounds and alumina hydrates to a pulverized product of at least one of electrofused alumina and sintered alumina, which has a maximum particle size smaller than 150  $\mu m$  and an average particle size of 5 to 35  $\mu m$  as single particles, heat-treating the mixture at a temperature of at least 1000°C, and disintegrating the heat-treated product.
- A highly thermally conductive composition comprising spherical corundum particles having an average particles size of 5 to 35 μm and a shape not including cutting edges, and at least one of rubbers and plastics.
  - ll. A composition as set forth in claim 10, wherein the spherical corundum particles have an  $\alpha$ -ray radiant quantity smaller than 0.01 C/cm<sup>2</sup>·hr.
- 25 l2. A composition as set forth in claim 10, wherein the spherical corundum particles are contained at a weight ratio of 80 to 92%.
  - 13. A composition as set forth in claim 10, where the spherical corundum particles have an average particle size of 10 to 25  $\mu m$ .
- 14. A composition as set forth in claim 10, wherein the plastic is selected from thermoplastic engineering plastics such as polypropylene, polyethylene, nylons, polycarbonates and polyphenylene sulfide, and thermosetting plastics such as epoxy resins, unsaturated polyester resins and phenolic resins.
  - 15. A composition as set forth in claim 10,

30

wherein the rubber is a silicone rubber.

- A resin composition for sealing a semiconductor, characterized by comprising (1) alumina consisting of 1 to 20% by weight of finely divided 5 alumina having an average particle size smaller than 5 µm, the remainder of the alumina consisting of spherical corundum particles having a maximum particle size smaller than 150  $\mu m$  and an average particle size of at least 10 µm as single particles and a shape not 10 including cutting edges, and (2) at least one of plastics used for a semiconductor-sealing material, such as epoxy resins and silicone resins.
- A composition characterized by comprising (1) alumina consisting of 10 to 30% by weight of finely 15 divided alumina having an average particle size smaller than 5 µm, the remainder of the alumina consisting of spherical corundum particles having an average particle size of at least 10 µm and a shape not including cutting edges, and (2) at least one of rubbers and plastics, 20 wherein the amount incorporated of the alumina is at least 75% by weight.
- A composition as set forth in claim 10, 18. wherein the spherical corundum particles having a maximum single particle diameter smaller than 150  $\mu m$  and 25 an average particles of 5 to 35  $\mu m$  as single particles are those formed by adding at least one member of halogen compounds, boron compounds and alumina hydrates to a pulverized product of at least one of electrofused alumina and sintered alumina, which has a maximum single 30 particle size smaller than 150  $\mu m$  and an average particle size of 5 to 35  $\mu$ m, heat-treating the mixture at a temperature of at least 1000°C, and disintegrating the heat-treated product.
- 19. A composition as set forth in claim 16 or 17, 35 wherein the spherical corundum particles having a maximum particle size smaller than 150 µm and an average particle size of at least 10  $\,\mu m$  as single particles are

those formed by adding at least one of halogen compounds, boron compounds and alumina hydrates to a pulverized product of at least one of electrofused alumina and sintered alumina, which has a maximum single particle size smaller than 150  $\mu m$  and an average particle size of at least 10  $\mu m$ , heat-treating the mixture at a temperature of at least 1000°C, and disintegrating the heat-treated product.

- 20. An electronic part comprising (1) a semi10 conductor chip for a power module, and (2) a mounting
  member for mounting thereby the semiconductor chip while
  having contact therewith, said mounting member being
  composed of a composition comprising spherical corundum
  particles having an average particle size of 5 to 35 µm
  15 and a shape not including cutting edges and at least one
  of plastics and rubbers.
- 21. A semiconductor device comprising (1) a semiconductor chip, and (2) a mounting member for mounting thereby the semiconductor chip while having
  20 contact therewith, said mounting member being composed of a composition comprising: alumina consisting of 1 to 20% by weight of finely divided alumina having an average particle size smaller than 5 μm, the remainder of the alumina consisting of spherical corundum particles
  25 having a maximum particle size smaller than 150 μm and an average particle size of at least 10 μm as single particles and a shape not including cutting edges; and at least one of rubbers and plastics.
  - 22. An electronic part as set forth in claim 20 or 21, wherein the mounting member is an insulating sealing member for the semiconductor chip.
    - 23. An electronic part as set forth in claim 20 or 21, wherein the mounting member is a circuit substrate on which the semiconductor chip is mounted.

Fig. IA

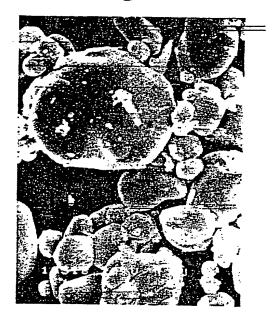


Fig. 2A



Fig. IB



Fig. 2B



BEST AVAILABLE COPY

# INTERNATIONAL SEARCH REPORT

PCT/JP87/00508 International Application No.

	TC1/UF0//UUSUB
I. CLASSIFICATION	OF SUBJECT MATTER (if several classification symbols apply, indicate all) 3
According to Internation	nal Patent Classification (IPC) or to both National Classification and IPC
Int.Cl4	CO1F7/02, CO8K7/18, HO1L23/30,
	H01L23/14 //H05K1/03
II. FIELDS SEARCHE	D .
	Minimum Documentation Searched 4
Classification System	Classification Symbols
IPC :	C01F7/02, 7/44, 7/46, C08K7/16~28, H01L23/14, 23/30, H05K1/03
	Documentation Searched other than Minimum Documentation to the Extent that such Documents are included in the Fields Searched

III. DOCUM	MENTS CONSIDERED TO BE RELEVANT 14	
Category •	Citation of Document, 16 with indication, where appropriate, of the relevant passages 17	Relevant to Claim No. 11
A !	JP, A, 59-97528 (Swiss Aluminium Ltd.) 5 June 1984 (05. 06. 84) & EP, B, 105025	1-9
A	JP, A, 58-181725 (Swiss Aluminium Ltd.) 24 October 1983 (24. 10. 83) & EP, B, 90767	i 3-9
A	JP, B, 60-26505 (Nippon Steel Corporation and one other) 24 June 1985 (24. 06. 85) (Family: none)	10-23
<b>A</b> ;	JP, A, 59-30719 (Sumitomo Chemical Co., Ltd.) 18 February 1984 (18. 02. 84) (Family: none)	: · 2
E :	JP, A, 62-191420 (Showa Kei Kinzoku Kabushiki Kaisha) 21 August 1987 (21. 08. 87) (Family: none)	1-11, 13-14 18, 20, 22-23

considered to be of particular relevance

#### IV. CERTIFICATION Date of the Actual Completion of the International Search 3 Date of Mailing of this International Search Report 1 September 9, 1987 (11.09.87) October 5, 1987 (05.10.87) International Searching Authority 1

Japanese Patent Office

Signature of Authorized Officer 10

earlier document but published on or after the international filing date

document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

document reterring to an oral disclosure, use, exhibition or

document published prior to the international filing date but later than the priority date claimed

understand the principle or theory underlying the invention

document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents. Such combination being obvious to a person skilled in the art

<sup>&</sup>quot;6" document member of the same patent family

m PCT/ISA/210 (second sheet) (October 1977)